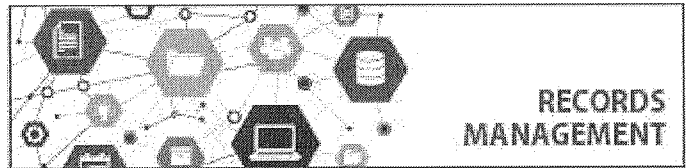


Destruction After Digitization

King County Records Management Program
records.management@kingcounty.gov, 206-477-6889
www.kingcounty.gov/recordsmanagement



1. Introduction for the Agency 2. About the Agency

Submit the completed agreement to
Records.Management@kingcounty.gov

- Digitization plans must meet minimum requirements per (WAC) 434-663.
- The Agency Records Officer's signature indicates your agency's commitment to follow the approved plan for the management of records.
- Agencies should review their approved DAD forms periodically to ensure they are still relevant, including that scanning requirements continue to be met and retention requirements have not changed.
- Contact the Records Management Program if any changes occur.

KCC/ BoAE

Department/Division/Section

Janet Masuo *Janet Masuo*

Agency Records Officer

Janet.masuo@kingcounty.gov

Contact Name & Email Address

3. Agency Digitization Plan

Digitization may be done in-house or by a third party so long as the minimum requirements are met. The Agency must ensure that any contract or work order includes specific instructions to comply with minimum output requirements, quality control processes. **King County must retain legal ownership of the records during the entire digitization process.**

	Minimum Image Density	Source Format/Size	Describe which image density and formats your agency will produce in compliance with the image output requirements. <i>(If different record series will be digitized differently, please specify.)</i>
Non-Archival Retention less than 6 years	300 dpi or higher*	PDF, PDF/A, JPEG	
Non-Archival Retention 6 years or more	300 dpi or higher	PDF/A	
Images: includes maps, plans, drawings, etc.	600 dpi	TIFF	
Archival or Potentially Archival	Contact the King County Archives to review records prior to scanning.		
Agencies must consider any regulatory or statutory restrictions on record digitization.			All docs will be scanned as 300 DPI PDFs. Images are generally contained within narrative document submissions.

Quality Control Process Requirements

Your agency must implement a quality control process that ensures the quality and accuracy of the digitized record.

- The entire document can be seen, including the edges and borders.
- The scanner glass is kept clean to prevent spots on the document.
- The scans are reviewed to ensure they are complete, clear, and legible.
- Images are straight and centered.
- If a scan cannot meet the above criteria...
 - Troubleshoot hardware and software used
 - Retain the Hard copy version
 - Image Metadata includes “best possible scan”

Are the quality control requirements above included in either the instructions to the vendor or your Agency’s standard work for digitization to ensure compliance?

Yes, I certify the above quality control processes will be followed

Digitized Record Storage Requirements

Your agency must implement procedures to ensure the digitized records are migrated and preserved for the duration of their retention.

- The files are saved in a way that prevents alteration (“read-as”).
- The storage system used manages and controls changes to the documents
- External Media storage is NOT allowed (Do NOT use CDs, thumb drives, etc.)
- The software prevents unauthorized deletion of records, in accordance with the DAN
- Digitized records and all associated metadata must be routinely backed-up 50 miles away

Does your DAD include Essential Records? Update your agency’s essential records plan and emergency plans to account for the records change in format to ensure preservation.

Describe where your agency will store digitized records for the remainder of their records retention.

If records are still considered ‘active’ per the Retention Schedule, describe storage plans for both their immediate storage and storage once they become ‘inactive.’

Note: Content Manager is fully compliant with storage and management requirements under WAC 434-663 and DOD 5015.2.

Yes, I certify this system is compliant with the above record storage requirements

Digitized Record Retention & Disposition Requirements

Digitized records must be retained and dispositioned in compliance with Disposition of Public Records in King County (INF 15-3-3-EP).

- The record series or DAN will be part of the records metadata or folder titles
- Source records will not be destroyed until
 - Image quality verified per the quality control requirements
 - Any related audit, investigation, Public Records Act request or litigation has been closed, legal holds have been lifted, and destruction has been approved by the appropriate parties
- Use confidential shredding to destroy source records containing confidential or personally identifiable information
- The digitized records storage location is routinely monitored to apply retention and disposition rules
- Inactive records are filed into Content Managed when they become inactive per the Retention Schedule (if not before)

Describe who will perform the disposition of the source records once the above requirements are met and what methodology will be used. (In house, King County Records Center, third-party vendor [name], etc.)

Describe how your agency will apply the retention requirement to the digitized records and ensure appropriate disposition per the King County Retention Schedule.

Note: *Content Manager is a fully compliant solution to meet storage and management requirements under WAC 434-663 and DOD 5015.2.*

Yes, I certify the source and digitized records will be retained and dispositioned in accordance with the above requirements and associated King County Retention Schedule.

**Destruction of source records does NOT require documentation.*

5 Agency Signature	6 Records Management Signature
<p>Our agency will comply with these minimum requirements.</p>	<p>The King County Records Management Program verifies this plan meets minimum requirements.</p> <p><i>Valerie Vega</i></p>
<p>Agency Records Officer Signature & Date</p>	<p>Records Management Program Signature & Date</p>

For RMP Use Only			
<p>Tracking #</p>		<p>Approved Date</p>	